

Herefordshire Council 2016-17 Audit Plan Progress

Appendix C											
Directorate/Service	Audit Type	Audit Name	Quarter	Status	Opinion	No. of recommendations					
						Total	1	2	3	4	5
*Finance	Grant Certification	Heat Network Delivery Units	April 2016	Removed	–	–	–	–	–	–	–
*Finance	Special Review	Link Road Scheme (LEP & HC) (additional work days from Contingency)	April 2016	Completed	Non-Opinion	1	0	0	1	0	0
*Finance	Grant Certification	Redundant Building Grant Scheme - Round 4	April 2016	Completed	Grant Certification	–	–	–	–	–	–
*Adult care services	Operational	Brokerage	April 2016	Completed	Partial	7	0	0	3	4	0
*Adult care services	Operational	Client Finances	April 2016	Completed	Partial	10	0	0	10	0	0
*Finance	Operational	Car Parking Income/Enforcement	April 2016	Completed	Partial	19	0	0	16	2	0
*Finance	Operational	Cash Handling & Collection	April 2016	Completed	Reasonable	9	0	0	8	1	0
*Human resources	Operational	Recruitment - Contracts	April 2016	Completed	Partial	10	0	0	10	0	0
*Information and communication technology	Operational	FWI EDRMS/Mosaic Upgrade - Implementation & Assurance -	April 2016	In Progress							
*Education and skills	School	SFVS (School Financial Value Standard) Governance - Bridstow Primary School	April 2016	Completed	Partial	9	0	0	8	1	0
*Education and skills	School	SFVS (School Financial Value Standard) Governance - Pembridge CE Primary School	April 2016	Completed	Reasonable	5	0	0	4	1	0
*Education and skills	School	SFVS (School Financial Value Standard) Governance - Weobley Primary School	April 2016	Completed	Reasonable	9	0	0	9	0	0
*Education and skills	School	SFVS (School Financial Value Standard) Governance - Ameley Primary School	April 2016	Completed	Reasonable	0	0	0	7	0	0
*Education and skills	School	SFVS (School Financial Value Standard) Governance - Peterchurch Primary School	April 2016	Completed	Partial	8	0	0	7	1	0
*Education and skills	School	SFVS (School Financial Value Standard) Governance - St Marys RC High School	April 2016	Completed	Partial	14	0	0	12	2	0
*Finance	Special Review	Special Review	April 2016	Completed	Non-Opinion	–	–	–	–	–	–
*Information management	Follow Up	Modern Records follow up	July 2016	Completed	Follow Up	–	–	–	–	–	–
*Finance	Grant Certification	Local Transport Block Funding	July 2016	Completed	Grant Certification	–	–	–	–	–	–
*Adult care services	Operational	Residential & Nursing Care	July 2016	Removed	–	–	–	–	–	–	–
*Adult care services	Operational	Deferred Payments	July 2016	In progress							
*Adult care services	Operational	Pre Paid Cards (Direct Payments)	July 2016	In Progress							
*Adult care services	Operational	Public Health Contracts	July 2016	Completed	Reasonable	7	0	0	6	1	0
*Children and families services	Operational	Children Missing Education (Safeguarding)	July 2016	In Progress							

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*Consumer affairs	Operational	Food Safety	July 2016	Completed	Reasonable	7	0	0	7	0	0
*Finance	Operational	Property Services - Accounts Payable (additional work days from Contingency)	July 2016	Completed	Advisory	4	0	0	4	0	0
*Human resources	Follow Up	Use of Agency	July 2016	Completed	Follow Up	-	-	-	-	-	-
*Information and communication technology	Operational	Protection from Malicious Code	July 2016	Completed	Reasonable	4	0	0	4	0	0
*Management	Operational	Performance Management Framework - Performance Indicators Corporate	July 2016	Completed	Reasonable	6	0	0	6	0	0
*Planning and building control	Operational	S106 Agreements	July 2016	Completed	Reasonable	7	0	0	7	0	0
*Transport and infrastructure	Operational	Concessionary Fares	July 2016	In Progress							
Procurement	Operational	Catering Contract (additional work days from Contingency)	October 2016	Draft Report							
*Consumer affairs	Follow Up	Licensing	October 2016	Completed	Follow Up	-	-	-	-	-	-
*Finance	Follow Up	Treasury Management	October 2016	Completed	Follow up	-	-	-	-	-	-
*Information and communication technology	Follow Up	Changes to Role & Exit - ICT Access Controls	October 2016	Completed	Follow Up	-	-	-	-	-	-
*Transport and infrastructure	Follow Up	Home to School Transport	October 2016	In Progress							
*Finance	Governance, Fraud & Corruption	NFI	October 2016	Completed	Advisory	-	-	-	-	-	-
*Finance	Grant Certification	Troubled Families	October 2016	Completed	Substantial	3	0	0	3	0	0
*Finance	Key Control	Accounts Payable	October 2016	Draft Report							
*Finance	Key Control	Accounts Receivable	October 2016	In Progress							
*Finance	Key Control	Capital Accounting	October 2016	Completed	Reasonable	1	0	0	1	0	0
*Finance	Key Control	Main Accounting	October 2016	Draft Report							
*Finance	Key Control	Council Tax	October 2016	Discussion Document							
*Finance	Key Control	Housing & Council Tax Benefits	October 2016	Completed	Partial	7	0	0	7	0	0
*Finance	Follow Up	NNDR - follow up	October 2016	Completed	Follow Up	-	-	-	-	-	-
*Finance	Key Control	Payroll	October 2016	Discussion Document							
*Finance	Key Control	Pensions - Auto enrolment	October 2016	In Progress							
*Information and communication technology	Operational	Public Services Network Submission Assurance	October 2016	Completed	Substantial	1	0	0	1	0	0
*Adult care services	Follow Up	Financial Assessments	January 2017	In Progress							

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*Adult care services	Operational	Better Care Fund	January 2017	In Progress							
*Adult care services	Operational	Contracts & Contract Management	January 2017	Removed	-	-	-	-	-	-	-
*Adult care services	Operational	Hospital Discharges	January 2017	Removed	-	-	-	-	-	-	-
*Adult care services	Operational	Telecare - Living Aids & Equipment	January 2017	Removed	-	-	-	-	-	-	-
*Adult care services	Operational	Transitions	January 2017	Removed	-	-	-	-	-	-	-
*Adult care services	Operational	Complex Care (LD)	January 2017	Removed	-	-	-	-	-	-	-
*Children and families services	Operational	Looked After Children	January 2017	In progress							
*Children and families services	Operational	Early Years Funding	January 2017	In Progress							
*Children and families services	Operational	Direct Payment/Personal Budgets (Children's) - HC	January 2017	In Progress							
*Information and communication technology	Operational	Business Continuity/Disaster Recovery Processes - Data Centre	January 2017	In Progress							
*Procurement	Operational	Nottingham Rehab Contract Review	January 2017	Removed	-	-	-	-	-	-	-
Information and Communication technology	Follow Up	PCI Data Security Standard Compliance	January 2017	Completed							
Information and Communication technology	Follow up	Mobile Phone Usage and Strategy	January 2017	Not Started	Follow Up	-	-	-	-	-	-
*Adult care services	Follow up	Direct Payments (Adults)	January 2017	Completed	Follow Up	-	-	-	-	-	-
*Adult care services	Follow Up	Midland Heart	January 2017	In Progress							
*Adult care services	Operational	Continuing Healthcare Funding	Feb-17	In Progress							
*Adult care services	Operational	Integrated Short Term Support and Care Pathway	Feb-17	In Progress							
*Finance	Grant Certification	Troubled Families	Mar-17	Not Started							